



PATENT  
Attorney Docket No.: DIVER1460-15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Jay M. Short	Art Unit:	Unassigned
Serial No.:	09/594,459	Examiner	Unassigned
Filed:	June 14, 2000		
Title:	SYNTHETIC LIGATION REASSEMBLY IN DIRECTED EVOLUTION		

Assistant Commissioner for Patents  
Washington, D.C. 20231

POWER OF ATTORNEY BY ASSIGNEE

As a below-named assignee of the above-identified application ("Application"):

I hereby appoint the following attorneys of the assignee to prosecute the Application and to transact all business in the United States Patent and Trademark Office connected therewith:

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BARRY N. YOUNG	Registration No. 27,744

I hereby authorize and request insertion of the application number of the Application when officially known.

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In re Application of:  
Jay M. Short et al.  
Application No.: 09/594,459  
Filed: June 14, 2000  
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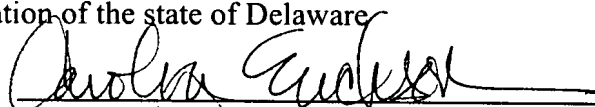
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**DIVERSA CORPORATION**

A corporation of the state of Delaware

By:



Name:

Carolyn Erickson

Title:

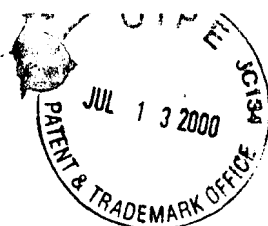
Vice President, Intellectual Property

Date:

June 28, 2000

Attorney Docket No. DIVER1460-15  
Applicant or Patentee: Jay M. Short  
Serial No. or Patent No.: 09/594,459  
Filed or Issued: June 14, 2000

Title: SYNTHETIC LIGATION REASSEMBLY IN DIRECTED EVOLUTION



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
(37 C.F.R. §§ 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN DIVERSA CORPORATION  
ADDRESS OF CONCERN 10665 Sorrento Valley Road  
San Diego, CA 92121

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. §121.3-18 and reproduced in 37 C.F.R. § 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled SYNTHETIC LIGATION REASSEMBLY IN DIRECTED EVOLUTUION by inventor(s) JAY M. SHORT described in:

- ☐ the specification filed herewith  
☒ Based on Application Serial No. 09/594,459, filed June 14, 2000  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

I authorize and request insertion of the serial number of the application when officially known.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. §1.9(d) or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 C.F.R. §1.27).

Full Name \_\_\_\_\_

Address \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name \_\_\_\_\_

Address \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. '1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Carolyn Erickson

TITLE OF PERSON OTHER THAN OWNER Vice President, Intellectual Property

ADDRESS OF PERSON SIGNING 10665 Sorrento Valley Road; San Diego, CA 92121

SIGNATURE Carolyn Erickson DATE June 28, 2000

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